

# Type-based deadlock analysis of linear communications

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# Summary

## What we want to achieve

- **static analysis** for **deadlock detection**
- the problem is **undecidable** in general

## How we want to do it

- **types**

## What we need

- a **language** of communicating processes
- a **type system**

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock freedom
- ③ From the  $\pi$ -calculus to a programming language
- ④ References

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# Syntax of the $\pi$ -calculus

$0$	idle process
$u?x.P$	input
$*u?x.P$	persistent input
$u!v.P$	output
$P \mid Q$	parallel composition
<code>new a in P</code>	channel creation

## Warm-up example

$*succ?(x, c).c!(x + 1)$

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$$*succ?(x, c).c!(x + 1)$$

`new a in (succ!(3, a) | a?x ... )`

`new b in (succ!(4, b) | b?y ... )`

# Encoding the recursive Fibonacci function

```
*fibo?(n, r).
  if n ≤ 1 then
    r!n
  else {
    new a in
    new b in {
      fibo!(n - 1, a) |
      fibo!(n - 2, b) |
      a?x.b?y.r!(x + y)
    }
  }
```

# Channel types

$$*succ?(x, c).c!(x + 1)$$

```
new a in (succ!(3, a) | a?x...)
```

- $succ$ : 0, 1, 2, ... communications unlimited
- $a$ : 1 communication linear

# Channel types

$$*succ?(x, c).c!(x + 1)$$

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<sup>1,0</sup>[**int**] :c: 0, 1, 2, ... communications

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- a: 1 communication

linear

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${}^0[\text{int}]$  *succ*: 0, 1, 2, ... communications  
• *a*: 1 communication

unlimited  
linear

$${}^{0,1}[\text{int}] \times {}^{0,1}[\text{int}]$$

# Channel types

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new a in (succ!(3, a) | a?x...)
```

- *succ*: 0, 1, 2, ... communications

unlimited

$\times^{0,1}$  [int]] communication

linear

# Channel types are useful for...

- + catching communication **errors** in programs
  - like sending a **string** instead of an **integer**
- + improving the **efficiency** of programs
  - a linear channel can be **deallocated** right after usage
- + deducing **desirable** properties of programs
  - communications on linear channels are **deterministic**
  - a **linear** channel is a **promise** of communication

# How far can we go with linear channels?

What if we need to communicate **more than once**?

- We could use unlimited channels...
- + ...or **continuations!** (i.e. *more linear channels*)

```
new s in eq!(s).  
      s!3.  
      s!4.  
s?res
```

```
new s0 in eq!(s0).  
new s1 in s0!(3,s1).  
new s2 in s1!(4,s2).  
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```

all  $s_i$  are linear

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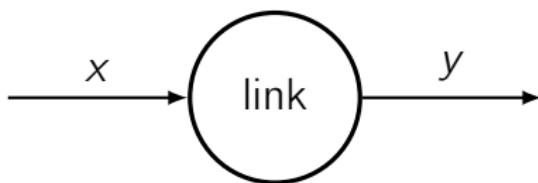
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## Example: persistent forwarder

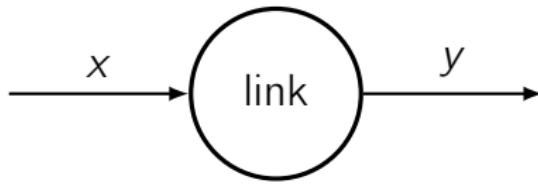
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*link?(x,y).          -- x and y are linear channels
x?(v,x').           -- x' is a continuation
new c in y!(v,c).   -- c is a continuation
link!(x',c)
```



Types of x and y...

## Example: persistent forwarder

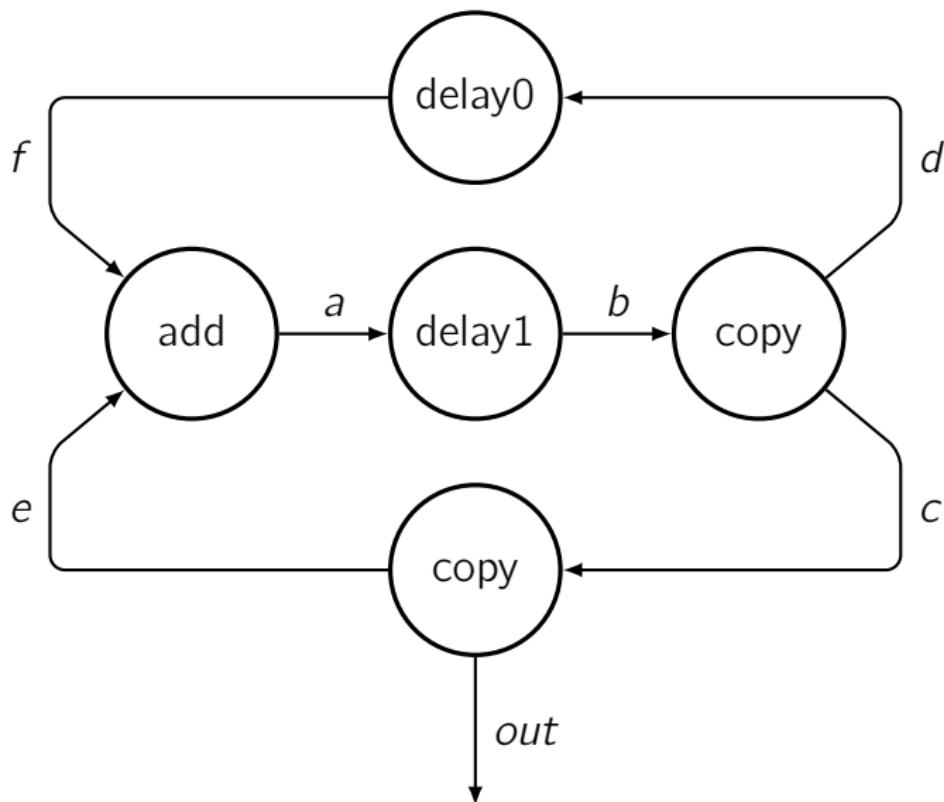
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Types of  $x$  and  $y$ ...

$$\begin{array}{lll} x : t & \text{where} & t = {}^{1,0}[\text{int} \times t] \\ y : s & \text{where} & s = {}^{0,1}[\text{int} \times t] \end{array}$$

## Example: the Fibonacci stream network



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# A suspicious mismatch

We said...

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- a **linear** channel is a **promise** of communication

But...

Theorem (Kobayashi, Pierce, Turner, 1999)

*Each linear channel of a well-typed process is used **at most once***

# The problem

```
new a in new b in (a?x.b!x | b?x.a!3)
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$0,1[\text{int}] .\text{nt}$

$1,1[\text{int}]$

⊕ the process is **well-typed**,  $a$  and  $b$  are **linear**

⊖ **no communication** happens

## Different processes, same typing

$a : {}^{1,1}[\text{int}], b : {}^{1,1}[\text{int}] \vdash a?x.b!x \mid a!3.b?x$

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$a : {}^{1,1}[\text{int}], b : {}^{1,1}[\text{int}] \vdash a?x.b!x \mid b?x.a!3$

- types do not carry any information regarding **usage order**

# Types for deadlock analysis

- 1 assign each linear channel a level  $\in \mathbb{Z}$

$$\kappa_1, \kappa_2[t]^h$$

- 2 make sure that channels are used in strict order

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# Types for deadlock analysis

- 1 assign each linear channel a level  $\in \mathbb{Z}$

$$\kappa_1, \kappa_2[t]^h$$
$$^{1,0}[\text{int}]^n$$

- 2 make sure that channels are used in  $^{0,1}[\text{int}]^m$

$$a?x.b!x \mid b?x^{1,0}[\text{int}]^m$$
$$[\text{int}]^n$$

# Typing rules

$$\frac{\Gamma, x : t \vdash P}{\Gamma, u : {}^{1,0}[t]^{\textcolor{green}{h}} \vdash u?x.P}$$

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smaller than  
any channel in  $P$

# Typing rules

$$\frac{\Gamma, x : t \vdash P \quad h < |\Gamma|}{\Gamma, u : {}^{1,0}[t]^h \vdash u?x.P}$$

$$\frac{\Gamma_1 \vdash e : t \quad \Gamma_2 \vdash P}{\Gamma_1, \Gamma_2, u : {}^{0,1}[t]^h \vdash u!e.P}$$

# Typing rules

$$\frac{\Gamma, x : t \vdash P \quad h < |\Gamma|}{\Gamma, u : {}^{1,0}[t]^h \vdash u?x.P}$$

smaller than

any channel in  $e \vdash e : t \quad \Gamma_2 \vdash P \quad h < |t| \text{ and } h < |\Gamma_2|$

$$\frac{}{\Gamma_1, \Gamma_2, u : {}^{0,1}[t]^h \vdash u!e.P}$$

# Properties

## Definition (deadlock freedom)

$P$  is **deadlock free** if  $P \rightarrow^* Q \not\rightarrow$  implies that in  $Q$  there are no pending communications on linear channels

## Theorem

*If  $\Gamma \vdash P$ , then  $P$  is deadlock free*

## Sketch.

By contradiction. Suppose  $P \rightarrow^* Q \not\rightarrow$  and there is a pending communication on a linear channel in  $Q$ .

Then one shows that there is a set of channels with strictly decreasing levels. This contradicts the fact that  $Q$  is *finite* and contains finitely many channels. □

## Some examples

---

$$a : {}^{1,1}[\textcolor{blue}{\mathbb{N}}]^0, b : {}^{1,1}[\textcolor{blue}{\mathbb{N}}]^1 \vdash a?x.b!x \mid a!3.b?x$$

## Some examples

---

$$a : {}^{1,0}[\textcolor{blue}{\mathbb{N}}]^{\textcolor{green}{0}}, b : {}^{0,1}[\textcolor{blue}{\mathbb{N}}]^{\textcolor{green}{1}} \vdash a?x.b!x$$

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## Some examples

$$b : {}^{0,1}[\mathbb{N}]^1, x : t \vdash b!x \quad 0 < 1$$

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## Some examples

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a :  ${}^{0,1}[\mathbb{N}]^0$   $\vdash a!3 \quad 1 \not< 0$  

$a : {}^{0,1}\llbracket \text{N} \rrbracket^0, b : {}^{1,0}\llbracket \text{N} \rrbracket^1 \vdash b?x.a!3$

$a : {}^{1,1}[\mathbb{N}]^0, b : {}^{1,1}[\mathbb{N}]^1 \vdash a?x.b!x \mid b?x.a!3$

# More deadlocks

`new a in a?x.a!x`

`new a in a!a`

## A problem with recursive processes

```
*link?(x ,y ).  
  x ?(z,a ).           -- x blocks y and a  
  new b  in y !(z,b ). -- y blocks a and b  
  link!(a ,b )
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## A problem with recursive processes

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*link?(x0,y1) .  
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  new b in y1!(z,b) .    -- y blocks a and b  
  link!(a2,b )
```

## A problem with recursive processes

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*link?(x0,y1) .  
  x0?(z,a2) .          -- x blocks y and a  
  new b3 in y ! (z,b3) .  -- y blocks a and b  
  link! (a2,b3)
```

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## Problem

- the levels of a and b don't match those of x and y
- type error

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## Solution

- + the mismatch is OK as long as it is a **translation**
- + allow **level polymorphism**

# Type reconstruction

## ► Problem statement

Given an untyped process  $P$ , find  $\Gamma$ , **if there is one**, such that  $\Gamma \vdash P$

+ facility for the programmer

+ inference tool of program's properties

- linearity analysis
- deadlock analysis
- possibly more...

## Theorem

*There exists a type reconstruction algorithm that is both correct and complete*

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*There exists a type reconstruction algorithm that is both correct and complete*

# Type reconstruction: a glimpse at the internals

```
*link?(x ,y ).  
x ?(z,a ).           --  
new b in y !(z,b ).      --  
link!(a ,b )         --
```

- ① perform linearity analysis
- ② put integer variables in place of (unknown) levels
- ③ compute constraints on levels
- ④ use ILP solver

# Type reconstruction: a glimpse at the internals

```
*link?(xn,ym).  
xn?(z,ah).           ---  
new bk in ym!(z,bk).    ---  
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# Type reconstruction: a glimpse at the internals

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link!(ah,bk) --- h = n + t ∧ k = m + t
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x <sup>n</sup> ?(z,a <sup>h</sup> ) .	-- $n < m \wedge n < h$
new b <sup>k</sup> in y <sup>m</sup> !(z,b <sup>k</sup> ) .	-- $m < h \wedge m < k$
link!(a <sup>h</sup> ,b <sup>k</sup> )	-- $h = n + t \wedge k = m + t$

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Problem: programs have structure

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# A deadlock in CML [Reppy, 1999]

```
send a (recv b) | send b (recv a)
```

## Ingredients

- call-by-value  $\lambda$ -calculus
- open, `send`, `recv`, `fork`
- **linear** channels

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- call-by-value  $\lambda$ -calculus
- open  $[0,1]^{cv, fork}$  [int]<sup>n</sup>
- linear channels



# A deadlock in CML [Reppy, 1999]

```
send a (recv b) | send b (recv a)
```

## Ingredients

- call-by-value  $\lambda$ -calculus
- open, `send`, `recv`, `fork`
- **linear** channels

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## Ingredients

- call-by-value  $[int]^m \rightarrow int$
- open, send, recv, join
- linear channels

int  $\rightarrow$  unit

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# Effects

```
send a (recv b) | send b (recv a)
```

# Effects

```
send a (recv b) | send b (recv a)
```

# Effects

`send a (recv b) | send b (recv a)`

`int →n unit & ⊥`

# Effects

```
send a (recv b) | send b (recv a)
```

# Effects

$\text{int} \rightarrow^n \text{unit} \& \perp$

`send a (recv b) | send b (recv a)`

# Effects

```
send a (recv b) | send b (recv a)
```

# More on arrow types

$$f \equiv \lambda x. (\text{send } a^m x; \text{ send } b^n x)$$

Which type for  $f$ ?

$$f : \cancel{\text{int}} \overset{<}{\rightarrow}^m \text{unit}$$

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int &  $n$

int &  $m$

$$(f\ 3); \text{recv } b \mid \text{recv } a$$

<  
)

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$$(f 3); \text{recv } b \mid \text{recv } a$$

$n$  unit &  $\perp$

$$f : \text{int} \rightarrow^n \text{unit}$$

$$f (\text{recv } a) \mid \text{recv } b$$

# Typing abstractions

$$\frac{\Gamma, x : t \vdash e : s \And \sigma}{\Gamma \vdash \lambda x. e : t \rightarrow^{|\Gamma|, \sigma} s \And \perp}$$

$\vdash \lambda x. x : \text{int} \rightarrow^{\top, \perp} \text{int}$

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$$\frac{\Gamma, x : t \vdash e : s \& \sigma}{\Gamma \vdash \lambda x. e : t \rightarrow^{|\Gamma|, \sigma} s \& \perp}$$

$$\begin{array}{ll} \vdash \lambda x. x & : \text{int} \rightarrow^{\top, \perp} \text{int} \\ a : {}^{0,1}[\text{int}]^{\textcolor{green}{n}} \vdash \lambda x. (x, a) & : \text{int} \rightarrow^{n, \perp} \text{int} \times {}^{0,1}[\text{int}]^{\textcolor{green}{n}} \end{array}$$

# Typing abstractions

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# Typing abstractions

$$\frac{\Gamma, x : t \vdash e : s \& \sigma}{\Gamma \vdash \lambda x. e : t \rightarrow^{|\Gamma|, \sigma} s \& \perp}$$

$\vdash \lambda x. x$	$: \text{int} \rightarrow^{\top, \perp} \text{int}$
$a : {}^{0,1}[\text{int}]^n \vdash \lambda x. (x, a)$	$: \text{int} \rightarrow^{n, \perp} \text{int} \times {}^{0,1}[\text{int}]^n$
$\vdash \lambda x. (\text{send } x \ 3)$	$: {}^{0,1}[\text{int}]^n \rightarrow^{\top, n} \text{unit}$
$a : {}^{1,0}[\text{int}]^n \vdash \lambda x. (\text{recv } a + x)$	$: \text{int} \rightarrow^{n, n} \text{int}$

# Typing applications

$$\frac{\Gamma_1 \vdash e_1 : t \rightarrow^{\sigma, \rho} s \And \tau_1 \quad \Gamma_2 \vdash e_2 : t \And \tau_2 \quad \tau_1 < |\Gamma_2| \quad \tau_2 < \sigma}{\Gamma_1 + \Gamma_2 \vdash e_1 e_2 : s \And \rho \vee \tau_1 \vee \tau_2}$$

# Typing applications

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$\vdash (\lambda x.x) 3$

+

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$\vdash (\lambda x.x) \ 3$

+

$a : {}^{1,0}[t]^n \vdash (\lambda x.x) \ (\text{recv } a)$

+

# Typing applications

$$\frac{\Gamma_1 \vdash e_1 : t \rightarrow^{\sigma, \rho} s \And \tau_1 \quad \Gamma_2 \vdash e_2 : t \And \tau_2 \quad \tau_1 < |\Gamma_2| \quad \tau_2 < \sigma}{\Gamma_1 + \Gamma_2 \vdash e_1 e_2 : s \And \rho \vee \tau_1 \vee \tau_2}$$

$\vdash (\lambda x.x) 3$

+

$a : {}^{1,0}[t]^n \vdash (\lambda x.x) (\text{recv } a)$

+

$a : {}^{1,0}[t]^n \vdash (\lambda x.(x, a)) (\text{recv } a)$

-

# Typing applications

$$\frac{\Gamma_1 \vdash e_1 : t \rightarrow^{\sigma, \rho} s \And \tau_1 \quad \Gamma_2 \vdash e_2 : t \And \tau_2 \quad \tau_1 < |\Gamma_2| \quad \tau_2 < \sigma}{\Gamma_1 + \Gamma_2 \vdash e_1 e_2 : s \And \rho \vee \tau_1 \vee \tau_2}$$

$\vdash (\lambda x.x) 3$

+

$a : {}^{1,0}[t]^n \vdash (\lambda x.x) (\text{recv } a)$

+

$a : {}^{1,0}[t]^n \vdash (\lambda x.(x, a)) (\text{recv } a)$

-

$a : {}^{1,0}[t \rightarrow t]^0, b : {}^{1,0}[t]^1 \vdash (\text{recv } a) (\text{recv } b)$

+

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock freedom
- ③ From the  $\pi$ -calculus to a programming language
- ④ References

# Essential bibliography

## Linear $\pi$ -calculus

- 📄 Kobayashi, Pierce, Turner, **Linearity and the Pi Calculus**  
(TOPLAS 1999)

## Deadlock freedom for the $\pi$ -calculus

- 📄 Kobayashi, **A Type System for Lock-Free Processes** (I&C 2002)

## Type and effect systems

- 📄 Amtoft, Nielson, Nielson, **Type and Effect Systems: Behaviours for Concurrency**  
(Imperial College Press 1999)

# Paperware and software

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- ❑ Padovani, **Type Reconstruction for the Linear  $\pi$ -Calculus with Composite and Equi-Recursive Types** (FoSSaCS 2014)
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- ❑ Padovani and Novara, **Types and Effects for Deadlock-Free Higher-Order Programs** (submitted)
- ❑ Padovani and Tosatto, **Hypha**  
(available at <http://di.unito.it/hypha>)

Slides, papers, links on my home page